

8803

Week 13

Wednesday

pg 2

Last time:

Alternate formulation of knot Floer homology

$R = \mathbb{F}[u, v]$  bigraded ring  $gr = (gr_u, gr_v)$

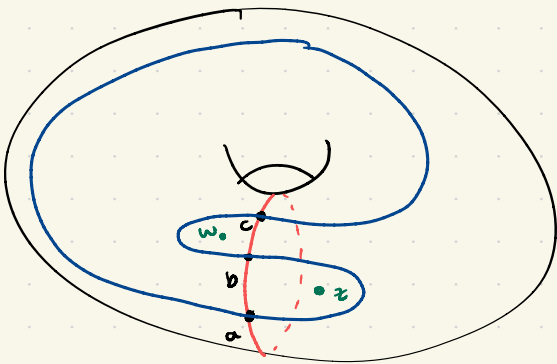
$gr_u = \text{Maslov grading}$

$gr(u) = (-2, 0)$

$gr(v) = (0, -2)$

$$dx = \sum_{y \in \pi_\alpha \cap \pi_\beta} \sum_{\substack{\phi \in \pi_2(x, y) \\ \mu(\phi) = 1}} \# \hat{M}(\phi) u^{n_w(\phi)} v^{n_z(\phi)} y$$

Example:



$\phi \in \pi_2(x, y)$

how to get

relative Maslov grading

$gr_u(x) - gr_u(y) = \mu(\phi) - 2n_w(\phi)$

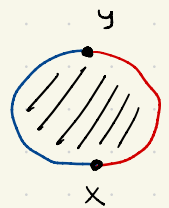
$gr_v(x) - gr_v(y) = \mu(\phi) - 2n_z(\phi)$



how to get relative  $\tau$  grading

$A(x) - A(y) = n_z(\phi) - n_w(\phi)$

$= \frac{1}{2} (gr_u(x) - gr_u(y) - (gr_v(x) - gr_v(y)))$



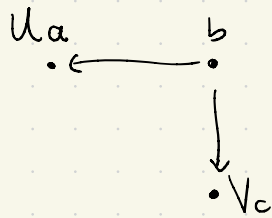
$2a = 0$

$2b = \nu_c + \mu_a$

$2c = 0$

} can see that's everything by passing thru. univ. cover

Convenient way to depict:

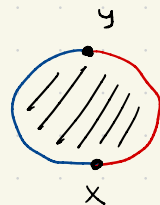
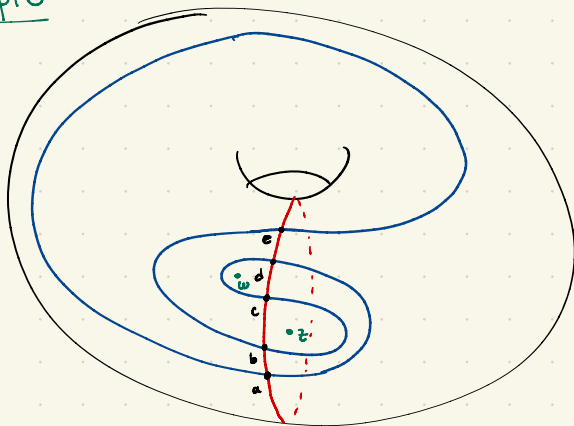


$$\begin{array}{ccc}
 \dots & u^2 & u & 1 \\
 & u^2 v & uv & v \\
 & & uv^2 & v^2 \\
 & & & \vdots
 \end{array}$$

Can check the following gradings of the generators:

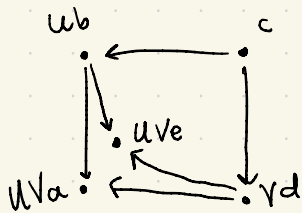
	$g'_u$	$g'_v$	Alex.
a	0	-2	1
b	-1	-1	0
c	2	0	-1

Example:

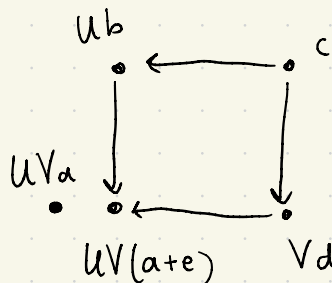


Looking for disks where the corners are acute.

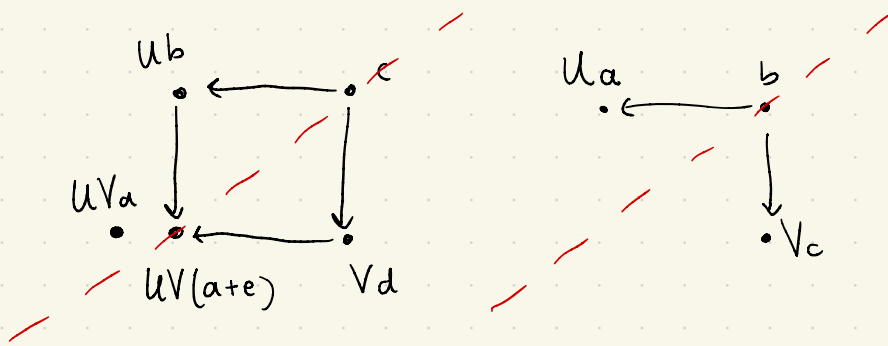
$$\begin{aligned}
 \partial a &= 0 \\
 \partial b &= v_a + v_e \\
 \partial c &= u_b + v_d \\
 \partial d &= u_a + u_e \\
 \partial e &= 0
 \end{aligned}$$



Often convenient to do a change of basis.



Now the differential is simplified.



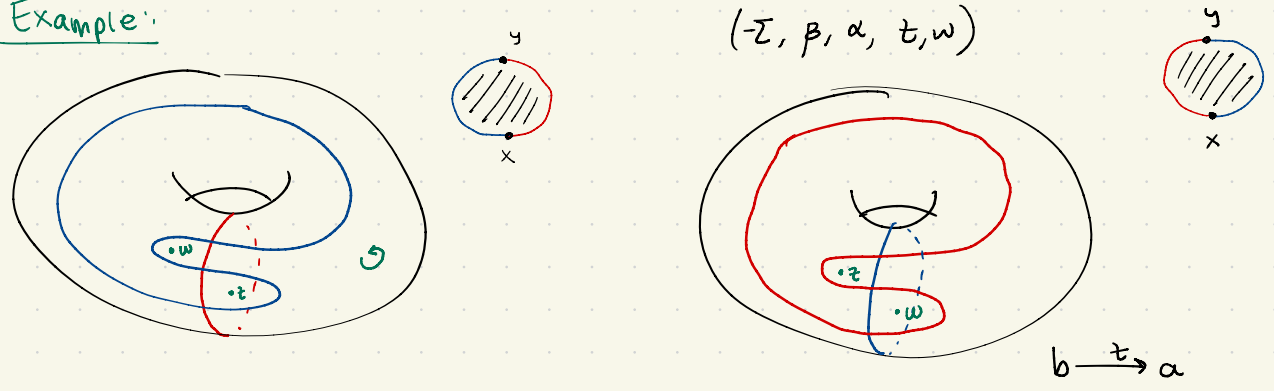
symmetry.  
Says something about chain homology equiv.

$\mathcal{H} = (\Sigma, \alpha, \beta, \omega, z)$  for  $K \subset S^3$  → this invariant is not sensitive to string orientation  
↙ the reverse!

Then  $(-\Sigma, \beta, \alpha, \omega, z)$  describes  $K^r$   
→ reverses 3-mfd → 3-mfd unchanged but now reverse of knot  
→ reverses 3-mfd

So  $(-\Sigma, \beta, \alpha, z, \omega)$  describes  $K$ .

Example:



these both describe  $K \subset S^3$

Compare  $CFK_R(\mathcal{H})$   $CFK_R(\mathcal{H}')$

same generators  
same differential, but with roles of U and V swapped.

⇒ Swapping U and V (and also  $g_{u_1}, g_{v_1}$ ) results in chain homology equivalence.

# CFK<sub>R</sub>(K) and concordance

## Theorem (Zemke)

If  $K_0$  and  $K_1$  are concordant, then  $\exists$  absolutely gr<sub>u</sub>, absolutely gr<sub>v</sub>  $R$ -equivariant maps

$$\text{CFK}_R(K_0) \begin{array}{c} \xrightarrow{f} \\ \xleftarrow{g} \end{array} \text{CFK}_R(K_1)$$

such that  $f$  and  $g$  induce isomorphisms on

$$H_* (\text{CFK}_R(K_i)/u) / v\text{-torsion}$$

Observe

$$\text{CFK}_R(K)/u$$

free fin. gen. chain cpx over  $u$

free fin. gen.  $\mathbb{F}[v]$ -module (now a P.I.D)

Fact:

$$H_* (\text{CFK}_R(K)/u) \cong \mathbb{F}[v] \oplus \bigoplus_{i=1}^N \mathbb{F}[v]/v^{n_i}$$

one free part (single spin<sup>c</sup> structure)

Recall:

$$H_* (\text{CFK}_R(K)/u) \cong H_* (\text{CFK}_R(K)/v)$$

$$\text{gr}_u \leftrightarrow \text{gr}_v$$

$$R = \mathbb{F}[u, v]$$

one variable for each basept, counting how many times they're crossed.

$$\text{HFK}^-(K) := H_* (\text{CFK}_R(K)/v) \quad (\text{up to swapping } u \text{ \& } v)$$

fin. gen. gr  $\mathbb{F}[u]$ -module

## Proposition

$$\tau(K) = -\frac{1}{2} \max \left\{ \text{gr}_v(x) \mid x \in H_* (\text{CFK}_R(K)/u), \right. \\ \left. \forall^n x \neq 0 \quad \forall n > 0 \right\}$$

Q: How can we compute things?

- computer program that computes

(Szabó's website, SnapPy)

→ uses some alt. def'n of

Knot Floer homology, taking slices of Platt closure.  
??

$\widehat{\text{HF}}K, \tau, \varepsilon, \gamma, \dots$  bigraded theory haven't talked about

strictly more info.  
homology of graded cpx

- alternating knots:  $\text{CFK}_R(K)$  determined by  $\Delta_K(t)$  and  $\sigma(K)$   
bad: not telling us anything new b/c classical invariants  
good: can actually compute

Def'n: A knot  $K \subset S^3$  is called an L-space knot if  $\exists$  a  $r > 0$

s.t.  $S_r^3(K)$  is an L-space.

Recall: A  $\mathbb{Q}HS^3$   $Y$  is an L-space if  $\dim \widehat{\text{HF}}(Y) = |H_1(Y; \mathbb{Z})|$

In general,  $\dim \widehat{\text{HF}}(Y) \geq |H_1(Y; \mathbb{Z})|$

- L-space knots:  $\text{CFK}_R(K)$  is completely determined by  $\Delta_K(t)$
- Linear combinations of the above:

Künneth formula:

$$CFK_{\mathbb{R}}(K_1 \# K_2) \cong CFK_{\mathbb{R}}(K_1) \otimes_{\mathbb{R}} CFK_{\mathbb{R}}(K_2)$$

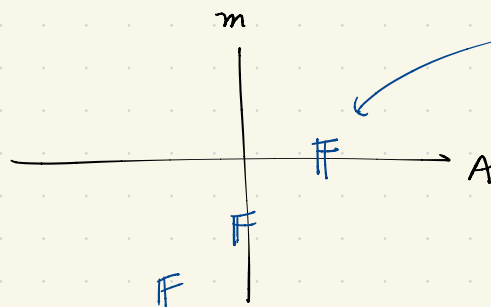
## Alternating Knots

**Theorem:**

(Osváth-Szabó)

Let  $K$  be an alternating knot. Then  $\widehat{HFK}(K)$  is supported in a single diagonal <sup>of slope 1</sup> in the Alexander-Maslov gr plane and  $\tau(K) = -\frac{1}{2}\sigma(K)$

Example:  $K = T_{2,3}$

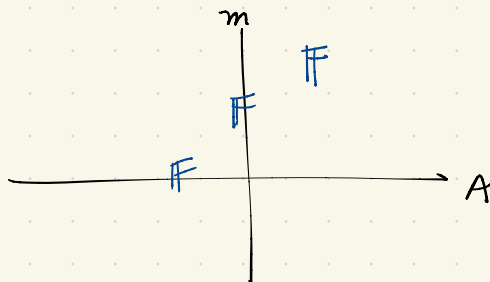


comes from Heeg. Floer hom of  $S^3$  being supported in Maslov grading 0

$$\Delta_K(t) = t^{-1} - 1 + t$$

$$\sigma(K) = -2$$

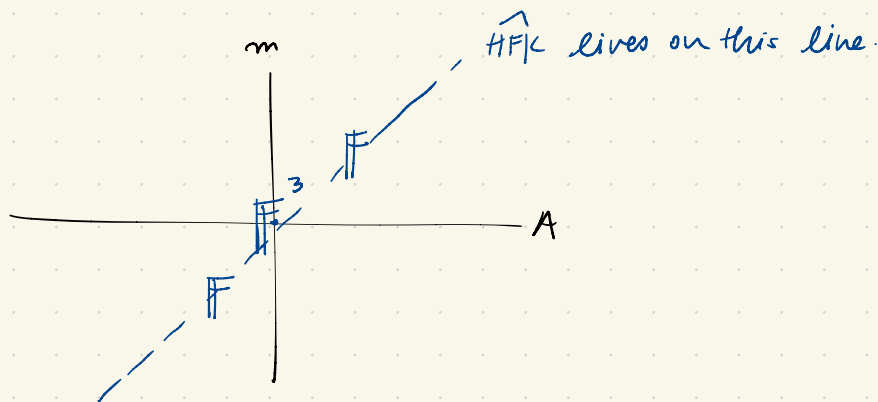
Example:  $K = -T_{2,3}$



$$\Delta_K(t) = t^{-1} - 1 + t$$

$$\sigma(K) = 2$$

Example:  $K = 4_1$



$$\Delta_K(t) = -t^{-1} + 3 - t$$

$$\sigma(K) = 0$$

Exercise: For  $K$  alternating,  $CFK_R(K)$  is also completely determined by  $\Delta_K(t)$  and  $\sigma(K)$

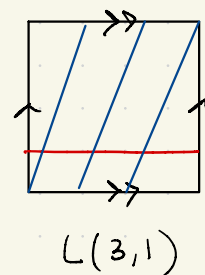
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## L-space knots

Examples:

$$\dim \widehat{HF}(L(p,q)) = p$$

lens spaces are L-spaces



$L(3,1)$

Examples:

Exercise:  $S^3_{pq \pm 1}(T_{p,q})$  is a lens space.

Hence positive torus knots are L-space knots



### Theorem (Ozsváth-Szabó)

If  $K$  is an L-space knot, then  $S_r^3(K)$  is an L-space for all  $r > 2g - 1$

### Theorem (Ozsváth-Szabó)

If  $K$  is an L-space knot, then  $\tau(K) = g(K)$  and  $\widehat{\text{HFK}}(K)$  is at most 1-dimensional in each Alexander grading.

### Corollary

If  $K$  is an L-space knot, then

- $K$  is fibered, and
- non-zero coefficients of  $\Delta_K(t)$  are  $\pm 1$

Big Q: Which knots in  $S^3$  admit surgeries to lens spaces?

A consequence of this result is the following recipe for determining the knot Floer complex  $\widehat{\text{CFK}}_R(K)$  from Alexander polynomial  $\Delta_K(t)$  for  $K$  an L-space knot:

$$\text{If } \Delta_K(t) = t^{n_0} - t^{n_1} + t^{n_2} - t^{n_3} + \dots + t^{n_m}$$

then  $\text{CFK}_p(K)$  has  $|\Delta_K(-1)|$

$x_0, x_1, x_2, \dots, x_m$  (generators)

and

$$\partial x_1 = U^{n_0 - n_1} x_0 + V^{n_1 - n_2} x_2 \quad (\text{differentials})$$

$$\partial x_3 = U^{n_2 - n_3} x_2 + V^{n_3 - n_4} x_4$$

⋮

Example:

$$K = T_{4,5}$$

Recall:  $\Delta_{T_{p,q}}(t) = \frac{(t^{pq} - 1)(t - 1)}{(t^p - 1)(t^q - 1)}$

$$\Delta_{T_{4,5}}(t) = t^6 - t^5 + t^2 - 1 + t^{-2} - t^{-5} + t^6$$

$\text{CFK}_p(K)$  has 7 generators:  $x_0, x_1, \dots, x_6$  and

$$\partial x_1 = U x_0 + V^3 x_2$$

$$\partial x_3 = U^2 x_2 + V^2 x_4$$

$$\partial x_5 = U^3 x_4 + V x_6$$

